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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |  |                        |                  |
|--|--|------------------------|------------------|
|  |  | Application Number     | 09/975,615       |
|  |  | Filing Date            | October 10, 2001 |
|  |  | First Named Inventor   | VALA, LISA A.    |
|  |  | Group Art Unit         |                  |
|  |  | Examiner Name          |                  |
| Total Number of Pages in This Submission |  | Attorney Docket Number | 5511USA          |

### ENCLOSURES (check all that apply)

|   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Assignment Papers<br>(for an Application)<br><input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)                              | <input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition                    | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information         |
| <input type="checkbox"/> Extension of Time Request  | <input type="checkbox"/> Petition to Convert to a Provisional Application                                 | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Express Abandonment Request  | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address                   | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Declaration<br>Return Post Card                                     |
| <input type="checkbox"/> Information Disclosure Statement   | <input type="checkbox"/> Terminal Disclaimer  |  |
| <input type="checkbox"/> Certified Copy of Priority Documents   | <input type="checkbox"/> Request for Refund   |  |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> CD, Number of CD(s)  |  |

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |               |  |
|-------------------------|---------------|--|
| Firm or Individual Name | GENERAL MILLS |  |
| Signature               |               |  |
| Date                    | 12/12/01      |  |

### CERTIFICATE OF MAILING

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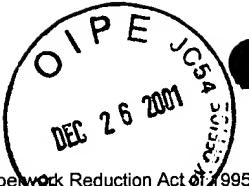
|                       |              |      |                   |
|-----------------------|--------------|------|-------------------|
| Typed or printed name | Pat Haggblom | Date | December 13, 2001 |
| Signature             |              |      |                   |

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30173

PATENT TRADEMARK OFFICE



# FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision.*

|                         |      |        |
|-------------------------|------|--------|
| TOTAL AMOUNT OF PAYMENT | (\$) | 130.00 |
|-------------------------|------|--------|

### Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 09/975,615       |
| Filing Date          | October 10, 2001 |
| First Named Inventor | VALA, LISA A.    |
| Examiner Name        |                  |
| Group Art Unit       |                  |
| Attorney Docket No.  | 5511USA          |

| METHOD OF PAYMENT  |  | FEE CALCULATION (continued) |  |
|--|--|-----------------------------|--|
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:<br>Deposit Account Number 07-0900<br>Deposit Account Name General Mills<br><br><input checked="" type="checkbox"/> Charge Any Additional Fee Required<br>Under 37 CFR 1.16 and 1.17<br><input type="checkbox"/> Applicant Claims small entity status.<br>See 37 CFR 1.27 |  | 3. ADDITIONAL FEES          |  |
| 2. <input type="checkbox"/> Payment Enclosed:<br><input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money <input type="checkbox"/> Other Order   |  | Fee Description<br>Fee Paid |  |

| FEE CALCULATION   |  | Fee Description  |  |
|---|--|--|--|
| <b>1. BASIC FILING FEE</b><br>Large Entity Small Entity<br>Fee Fee Fee Fee Fee Description<br>Code (\$)<br><br>101 740 201 370 Utility filing fee<br>106 330 206 165 Design filing fee<br>107 510 207 255 Plant filing fee<br><br>108 740 208 370 Reissue filing fee<br>114 160 214 80 Provisional filing fee   |  | Fee Paid   |  |
| SUBTOTAL (1) (\$)   |  |  |  |
| 2. EXTRA CLAIM FEES   |  | Fee from below   |  |
| Extra Claims<br>Total Claims -20** = X =<br>Indep. Claims -3** = X =<br>Multiple Dependent =  |  | Fee Paid   |  |
| Large Entity Small Entity<br>Fee Fee Fee Fee Fee Description<br>Code (\$)<br><br>103 18 203 9 Claims in excess of 20<br>102 84 202 42 Independent claims in excess of 3<br>104 280 204 140 Multiple dependent claim, if not paid<br>109 84 209 42 ** Reissue independent claims<br>over original patent<br><br>110 18 210 9 ** Reissue claims in excess of 20<br>and over original patent |  | Fee Description<br><br>140 110 240 55 Petition to revive – unavoidable<br>141 1240 241 620 Petition to revive – unintentional<br>142 1280 242 620 Utility issue fee (or reissue)<br>143 440 243 220 Design issue fee<br>144 600 244 300 Plant issue fee<br><br>146 710 246 355 Filing a submission after final rejection<br>(37 CFR § 1.129 (a)) |  |
| SUBTOTAL (2) (\$)   |  | Other fee (specify) -----<br>*Reduced by Basic Filing Fee Paid   |  |

\*\*or number previously paid, if greater; For Reissues, see above.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00)

| SUBMITTED BY      |                   | Complete (If applicable)             |        |                        |
|-------------------|-------------------|--------------------------------------|--------|------------------------|
| Name (Print/Type) | Michael C. Miller | Registration No.<br>(Attorney/Agent) | 36,206 | Telephone 763-764-2265 |
| Signature         |                   |                                      |        | Date 12/12/01          |

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PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re.:

Patent Application of  
VALA, LISA A.  
LEWANDOWSKI, DANIEL J.  
HEDDLESON, RONALD A.  
GUGGER, ERIC T.  
PERDON, ALICIA A.

Serial No.: 09/975,615

Filed: October 10, 2001

For: FOOD PRODUCT FOR LOWERING CHOLESTEROL LEVELS

Docket No.: 5511USA

FILING OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Applicant has received a Notice To File Missing Parts Of Application--Filing Date Granted. The notice indicated that the following items were missing:

1. The oath or declaration.

Please find enclosed a Declaration executed by the inventors.

Also enclosed is a copy of the Notice. The fee is herewith made from Deposit Account No. 07-0900.

Respectfully submitted,

VALA, LISA A.  
LEWANDOWSKI, DANIEL J.  
HEDDLESON, RONALD A.  
GUGGER, ERIC T.  
PERDON, ALICIA A.

I hereby certify that this document is being deposited with the United States Postal service as first class mail in an envelope addressed to:

Assistant Commissioner for  
Patents  
Washington, DC 20231

on: December 13, 2001

Pat Haggblom

Signature

By

  
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Attorney for Applicants  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/975,615         | 10/10/2001          | Lisa A. Vala          | 5511USA                |

30173  
GENERAL MILLS, INC.  
P.O. BOX 1113  
MINNEAPOLIS, MN 55440

CONFIRMATION NO. 8746

FORMALITIES LETTER



\*OC000000007070911\*

Date Mailed: 11/14/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/27/2001 TGEDAMU1 00000149 070900 09975615

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